

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

POR Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/772,267

02/06/2004

Shunpei Yamazaki

740756-2708

22204 NIXON PEABODY, LLP 401 9TH STREET, NW SUITE 900 WASHINGTON, DC 20004-2128



CONFIRMATION NO. 4444

FORMALITIES LETTER

OC000000015052870

Date Mailed: 01/28/2005

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) All.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandra, Vignus 22313-1450 www.uspb.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/772.267

02/06/2004

Shunpei Yamazaki

740756-270**6**

22204 NIXON PEABODY, LLP 401 9TH STREET, NW SUITE 900 **WASINGTON, DC 20004-2128**



CONFIRMATION NO. 4444 FORMALITIES LETTER OC000000012538534*

Date Mailed: 05/05/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) ALL.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$260 for a Large Entity

• \$130 Late oath or declaration Surcharge.

• \$130 for English translation surcharge required.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/772,267	
Filing Date February 6, 2004		
First Named Inventor	Shunpei YAMAZAKI	
Group Art Unit	2812	
Confirmation No.	4444	
Attorney Docket Number	740756-2708	

Total Number of Pages in This Submission	Attorney Docket Number	740756-2708		
ENCLOSURES (check all that apply)				
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Notice to File Corrected Application Papers Formalities Letter Response	Assignment Papers (for an Application) Drawing(s) Declaration and Power of Attorney Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address	□ After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences □ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) □ Proprietary Information □ Status Letter □ Application Data Sheet □ Request for Corrected Filing Receipt with Enclosures □ A self-addressed prepaid postcard for acknowledging receipt □ Other Enclosure(s) (please identify below):		
		ereby authorized to charge any additional fees yments to Deposit Account No. 19-2380 for the ner.		
SIGNATU	RE OF APPLICANT, ATTORNEY, OF	RAGENT		
401 9 th Str Suite 900	body LLP	·		
Signature	L (mittel)			
Date Varch 28, 2005				
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] I hereby certify that this correspondence is being: deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703)				
Date		Signature		
	Typed	or printed name		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- 1 -

In re F	Patent Application of)
Shunp	ei YAMAZAKI)
Applio	cation No. 10/772,267) Art Unit: 1432
Filed:	February 6, 2004) Confirmation No. 4444
For:	SEMICONDUCTOR MANUFACTURING	G)
	DEVICE) Date: March 28, 2005

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

United States Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

In response to the Notice to File Corrected Application Papers mailed January 28, 2005, enclosed herewith are replacement drawings which were previously filed in the U.S. Patent Office on August 5, 2004, in response to the Notice to File Missing Parts of Nonprovisional Application (copy enclosed) mailed May 5, 2004. Also enclosed is a copy of the PTO stamped postcard listing item number "6. (13) Sheets of Drawings (1-15)" thereon.

It is respectfully requested by the undersigned attorney that the Notice to File Corrected Application Papers dated January 28, 2005 be withdrawn.

Respectfully submitted,

effey L.

Registration No. 35,483

NIXON PEABODY LLP 401 9th Street, N.W. Suite 900

Washington, D.C. 20004-2128

(202) 585-8000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

This will acknowledge receipt of the following:

- 1. Transmittal Form w/Fee Transmittal (duplicate)
- 2. Copy of Formalities Letter
- 3. Application Data Sheet
- 4. Verification of Translation
- 5. English Translation of Specification
- 6. (13) Sheets of Drawings (1-15)
- 7. Submission of Substitute Specification
- 8. Substitute Specification w/ Marked-up version
- 9. Correction of Prior Information Disclosure Statement w/ PTO Form-1449
- 10. Original Executed Declaration and Power of Attorney
- 11. Original Executed Assignment Document and Recordation Form Cover Sheet
- 12. Permission to charge Deposit Account No19-2380 in the amount of \$702.00; representing

(\$144 Extra Claims, \$258 Extra Independent Claims, \$130 Surcharge, \$130 Surcharge for English Translation,

\$40 Recordation)

In re the Patent Application of: Shunpei YAMAZAKI

U.S. Application No: 10/772,267 Filed: February 6, 2004 Title: SEMICONDUCTOR MANUFACTURING DEVICE

JLC/dis Date: August 5, 2004

Due Date: 08/5/2004 Docket No. 740756-2708 Attorney No. 8683

40756-2708 PLEASE DATE STAMP AND RETURN

